



ZURICH

# Directors' and Officers' Liability

SME Proposal form

**Important:** Failure to disclose material facts could result in your policy being invalidated. Material facts are those which might influence the acceptance or assessment of your proposal. If you are in doubt as to whether a fact is material you should disclose it. Please use block capitals when answering and tick the appropriate box from the choices wherever any are provided.

**Step 1: Please complete the details below regarding the company and its subsidiaries.**

Name of company

Full address of company

Annual turnover/income (as stated in the latest filed accounts)

Business activities

**Step 2: Please choose a Limit of Liability applicable to your turnover band. Prices are subject to 5% Insurance Premium Tax.**

Turnover band	Required Limit of Liability (Aggregate costs inclusive)				
	£100,000	£250,000	£500,000	£1,000,000	£2,000,000
up to £250,000	<input type="checkbox"/> £150	<input type="checkbox"/> £225	<input type="checkbox"/> £275	Not available	Not available
£250,000 up to £1,000,000	Not available	<input type="checkbox"/> £225	<input type="checkbox"/> £290	<input type="checkbox"/> £325	Not available
£1,000,001 up to £2,000,000	Not available	<input type="checkbox"/> £375	<input type="checkbox"/> £425	<input type="checkbox"/> £475	<input type="checkbox"/> £900
£2,000,001 up to £5,000,000	Not available	<input type="checkbox"/> £400	<input type="checkbox"/> £475	<input type="checkbox"/> £525	<input type="checkbox"/> £900

**Step 3: Please confirm that the company and its subsidiaries:**

- is a UK registered private company with no assets or turnover in North America and have not recently disposed of any assets in North America
- has no claims or circumstances which may give rise to a claim under this policy
- has continually carried on business for at least 18 months and filed report and accounts
- latest audited report and accounts show a retained profit, positive net worth and does not have an audit qualification and are not the subject of an administration order
- is not a financial institution (e.g. bank, building society, fund manager, investment fund, insurance company/broker)
- does not currently hold this type of insurance with Zurich Insurance Company
- has not had an insurance company cancel or decline one of its policies, applied special terms when renewing its policy or refused to renew its policy
- is not involved in IT, telecoms, pharmaceuticals, bio-tech, mineral exploration, printing or publishing, supply of medical services, charity work, provision of educational services and is not a sports club or residential association
- are not the subject of any proposal relating to its acquisitions by another company and have no plans to trade the company's shares.

Yes  No (If no, please refer to your broker who can assist you in obtaining alternative quotations.)

## Important notes

### Fraud prevention, detection and claims history

Zurich Insurance Company holds data in accordance with the Data Protection Act 1998. It may be necessary for us to pass data to other organisations that supply products and services associated with this contract of insurance. In order to verify information, or to prevent and detect fraud, we may share information you give us with other organisations and public bodies, including the Police, accessing and updating various databases. If you give us false or inaccurate information and we suspect fraud, we will record this and the information will be available to other organisations that have access to the databases. We can supply details of the databases we access or contribute to, on request.

### Claims history

Under the conditions of your policy you must tell us about any insurance related incidents (such as fire, water damage, theft or an accident) whether or not they give rise to a claim. When you tell us about an incident we will pass information relating to it to a database. We may search these databases when you apply for insurance, in the event of any incident or claim, or at time of renewal to validate your claims history or that of any other person or property likely to be involved in the policy or claim.

Do not provide us with personal data about any individual unless you have the appropriate authority to supply it.

**Signing this proposal form does not bind the proposer to complete this insurance.**

## Step 4: Complete the Declaration

The undersigned warrant that:

- to the best of our knowledge and belief the foregoing particulars and statements are true and that no material fact has been withheld
- they and other written statements made by us or on our behalf for the purpose of the proposed insurance shall be the basis of, and incorporated in, the contract between us and the Company.

We hereby undertake to declare any material alterations or amendments to the foregoing particulars and statements which occur prior to the commencement of the contract of insurance.

Signed

Printed name

Position held

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

### Zurich Insurance Company

A limited company incorporated in Switzerland.

Registered in the canton of Zurich no. CH-020.3.929.583-0. UK branch registered in England no. BR 105.

UK Head Office: Zurich House, Stanhope Road, Portsmouth, Hampshire PO1 1DU.

Authorised and regulated by the Financial Services Authority.